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<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>		ATTORNEY'S DOCKET NUMBER <b>095809.58110US</b>
INTERNATIONAL APPLICATION NO. <b>PCT/EP2005/001339</b>	INTERNATIONAL FILING DATE <b>February 10, 2005</b>	PRIORITY DATE CLAIMED <b>February 12, 2004</b>
TITLE OF INVENTION <b>DEVICE FOR DETERMINING THE STATE OF A SOOT PARTICLE FILTER</b>		
APPLICANT(S) FOR DO/EO/US <b>Thomas BIRKHOFER, et al.</b>		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))           <ul style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> Is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ul> </p> <p>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))           <ul style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ul> </p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))           <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made.</li> </ul> </p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>		
<p><b>Items 11 to 20 below concern document(s) or information included:</b></p> <p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98, International Search Report dated August 25, 2005 including English Translation of relevant portion and PCT/ISA/237 (Ten (10) pages), German Search Report dated April 18, 2005 with English Translation of relevant portion (Eight (8) pages), PTO-1449 with Sixteen (16) non-US references.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A preliminary amendment (Ten (10) pages) with Abstract.</p> <p>14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76 (Six (6) pages).</p> <p>15. <input checked="" type="checkbox"/> Submission of substitute specification including Clean and Marked-Up Versions.</p> <p>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input checked="" type="checkbox"/> Other items or information: <b>Seven (7) sheets of drawings showing Figs. 1-17</b></p>		

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

IAP11 Rec'd PCT/PTO 11 AUG 2006

PTO-1390 (Rev. 02-2005)

Approved for use through 3/31/2007. OMB 0651-0021  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <i>10/15892-31</i> to Be Assigned		INTERNATIONAL APPLICATION NO. <b>PCT/EP2005/001339</b>		ATTORNEY'S DOCKET NUMBER <b>095309.58110US</b>	
				CALCULATIONS	PTO USE ONLY
The following fees have been submitted				\$300.00	
21. <input checked="" type="checkbox"/> Basic national fee (37 C.F.R. §1.492(a)) .....\$300.00					
22. <input checked="" type="checkbox"/> Examination fee (37 C.F.R. §1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) .....\$0.00					
All other situations.....\$200.00				\$200.00	
23. <input checked="" type="checkbox"/> Search fee (37 C.F.R. §1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) .....\$0					
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority .....\$100					
International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB .....\$400					
All other situations.....\$500				\$400.00	
<b>TOTAL OF 21, 22 AND 23 =</b>				<b>\$900.00</b>	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
50 - 100	0 / 50 =	0	x 250	\$0.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).				<b>\$130.00</b>	
CLAIMS		NUMBER FILED	NUMBER EXTRA	RATE	
Total claims		28 - 20	8	x 50	<b>\$400.00</b>
Independent claims		1 - 3	0	x 200	<b>\$0.00</b>
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ 360	\$
				<b>TOTAL OF ABOVE CALCULATIONS =</b>	<b>\$1430.00</b>
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.				<b>SUBTOTAL =</b>	\$
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				+	\$
				<b>TOTAL NATIONAL FEE =</b>	<b>\$1430.00</b>
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property .....				+	\$
				<b>TOTAL FEES ENCLOSED =</b>	<b>\$1430.00</b>
				<b>Amount to be refunded:</b>	\$
				<b>Amount to be charged:</b>	\$
<p>a. <input type="checkbox"/> A check in the amount of \$ to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. 05-1323 (Attorney Docket No. 095309.58110US) in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 05-1323 (Attorney Docket No. 095309.58110US).</p> <p>d. <input checked="" type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p>					
<p><b>NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.</b></p>					
<p>SEND ALL CORRESPONDENCE TO:            Crowell &amp; Moring, LLP            Intellectual Property Group            P.O. Box 14300            Washington, D.C. 20044-4300            Tel. No. (202) 624-2500            Fax No. (202) 628-8844</p>					
<p><i>[Signature]</i>            SIGNATURE  <b>James F. McKeown</b>            NAME  <b>26,406</b>            REGISTRATION NUMBER  <b>August 11, 2006</b>            DATE</p>					

10/589231  
IAP11 Rec'd PCT/PTO 11 AUG 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : To Be Assigned Confirmation No. : TBA  
First Named Inventor : Thomas BIRKHOFER  
Filed : August 11, 2006  
TC/A.U. : To Be Assigned  
Examiner : To Be Assigned  
Docket No. : 095309.58110US  
Customer No. : 23911  
Title : Device for Determining the State of a Soot Particle Filter

SUBMISSION OF SUBSTITUTE SPECIFICATION

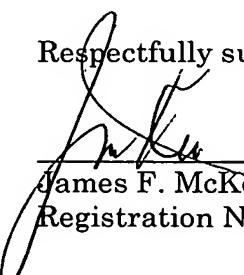
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Attached are a Substitute Specification and a marked-up copy of the original specification. I certify that said substitute specification contains no new matter and includes the changes indicated in the marked-up copy of the original specification.

Respectfully submitted,

August 11, 2006

  
\_\_\_\_\_  
James F. McKeown  
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